

Serial No.: 09/286,099

Group: Art Unit 2641

Filed: April 2, 1999

Examiner: A. Armstrong

For: SYSTEM AND METHOD FOR RESCORING N-BEST HYPOTHESES  
OF AN AUTOMATIC SPEECH RECOGNITION SYSTEMAssistant Commissioner for Patents  
Washington, D.C. 20231AMENDMENT TRANSMITTAL FORM

Sir:

Transmitted herewith is an amendment in the above-identified application.

[ ] Small entity status of this application under 37 C.F.R. § 1.9 and 1.27 has been established by a verified statement previously submitted.

[ ] A verified statement to establish small entity under 37 C.F.R. § 1.9 and 1.27 is enclosed.

[X] No additional fee is required.

The fee has been calculated as shown below:

|  | (Col. 1)                              | (Col. 2) | (Col. 3)         | SMALL ENTITY       | OTHER THAN<br>SMALL ENTITY |
|--|---------------------------------------|----------|------------------|--------------------|----------------------------|
| <b>CLAIMS</b>  |                                       |          |                  |                    |                            |
| REMAINING<br>AFTER<br>AMENDMENT  | HIGHEST NO.<br>PREVIOUSLY<br>PAID FOR |          | PRESENT<br>EXTRA | ADDIT.<br>RATE FEE | ADDIT.<br>OR RATE FEE      |
| <b>TOTAL</b>   | 22                                    | MINUS 22 | = 0              | X 9 \$             | X 18 \$ 0                  |
| <b>INDEP.</b>  | 3                                     | MINUS 3  | = 0              | X 42 \$            | X 84 \$ 0                  |
| <input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM X 140 \$ X 280 \$ |                                       |          |                  |                    |                            |
| <b>TOTAL</b> OR <b>TOTAL</b> \$ 0<br><u>ADDIT. FEE</u> \$ 0                          |                                       |          |                  |                    |                            |

\* If the entry in Co. 1 is less than entry in Col. 2, write "0" in Col. 3.  
 \*\* If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, enter 20.  
 \*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".  
 The Highest No. Previously Paid For" (Total or indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

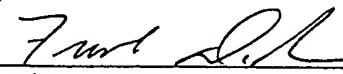
[ ] Please charge Deposit Account No. 50-0510/IBM (Yorktown Heights) in the amount of \$ \_\_\_\_\_. Two (2) copies of this sheet are enclosed.

[ ] A check in the amount of \$ \_\_\_\_ is enclosed.

[X] Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. § 1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account No. 50-0510/IBM. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 50-0510/IBM therefor. TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.

Respectfully submitted,

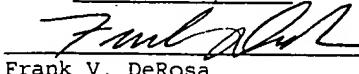
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(516) 357-0091

  
Frank V. DeRosa  
Reg. No. 43,584  
Attorney for Applicant

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231 on November 1, 2001.

Dated: 11/1/01

  
Frank V. DeRosa

**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants:** Bakis et al.

**Examiner:** A. Armstrong

**Serial No.:** 09/286,099

**Group Art Unit:** 2641

**Filed:** April 2, 1999

**Docket:** YO999-046 (8728-252)

**For: SYSTEM AND METHOD FOR RESCORING N-BEST HYPOTHESES  
OF AN AUTOMATIC SPEECH RECOGNITION SYSTEM**

Assistant Commissioner for Patents  
Washington, D.C. 20231

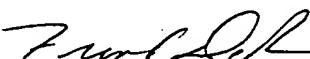
**RESPONSE**

In response to the Office Action dated August 1, 2001, Applicants respectfully traverse the claims rejections as being unsupported by the cited references. The Examiner's reconsideration of the claim rejections is respectfully requested in view of the following remarks.

**CERTIFICATE OF MAILING 37 C.F.R. § 1.8(a)**

I hereby certify that this correspondence was deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on November 1, 2001.

Dated: 11/1/01

  
FRANK V. DeROSA